

# SIPP Non Standard Investment Declaration

Client Name

Client Address

SIPP reference

Name of investment

Value of proposed  
Investment (approximately)

**I confirm that it is my intention to hold the above named investment within my pension scheme.**

I appreciate that this is a non-standard investment and that Dentons Pension Management Limited (Dentons) will assess the proposal in detail before allowing such an investment. I understand that Dentons will not allow any investment to be held that could potentially give rise to tax charges.

**I confirm my understanding of the risk factors involved in the purchase of this investment in connection with my pension investments:**

- |  |     |                          |    |                          |
|--|-----|--------------------------|----|--------------------------|
| > Do you understand the full nature of the investment you are entering into?   | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| > Are you comfortable with the potentially high level of risk associated with this investment?   | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| > Have you read in full, understood and agreed the terms of all the relevant documentation published by the promoter, provider, broker or adviser (as appropriate)?                    | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| > Do you understand that the marketing material may claim to provide high rates of return on your investment, but there is no guarantee of this?                                       | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| > Do you realise that you may get back less than the amount you have invested, or if the investment fails, you may get back nothing at all?  | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| > Are you in a position of being able to accept this risk?   | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| > Do you confirm that you are a sophisticated or high net worth investor?*   | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| > Do you understand that the investment, or the organisation offering the investment, may not be authorised or regulated by the Financial Conduct Authority (FCA), the UK's regulator? | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| > If this is the case, do you understand that you may not be able to complain to the Financial Ombudsman Service or Pensions Ombudsman?  | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| > If the investment is not authorised or regulated by the FCA, do you understand that you may not be able to be protected by the Financial Services Compensation Scheme?               | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| > Do you understand the level and nature of the charges payable in relation to the holding and future sale of this investment?   | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |
| > Do you understand that this may be an 'illiquid' investment and that it may not be possible to encash it?  | Yes | <input type="checkbox"/> | No | <input type="checkbox"/> |

- > Do you understand that there may **not** be a second hand market for this investment and therefore it may not be possible to sell this investment? Yes  No
- > It may also be difficult for Dentons to obtain a valuation for reporting or pension benefit calculation purposes and we may be forced to value the investment as 'nil': do you understand this? Yes  No
- > Do you understand that this investment could result in significant delays or restrictions in paying pension benefits or, in the event of your death, death benefits to your beneficiaries? Yes  No
- > Do you have sufficient capacity for loss in the event of catastrophic failure of this investment? Yes  No

**Have you taken advice in connection with this investment?** Yes  No

If Yes, please provide adviser details

Name of Adviser

Name of Adviser firm

Firm Registration Number (FRN) RN Number

## Declaration

I have considered this transaction in connection with my pension investments

I confirm that neither Dentons Pension Management Limited (Dentons) nor any subsidiary company has given me any investment advice or other advice or exercised its judgement on its merits, suitability or otherwise of the proposed investments.

I understand that Dentons has the discretion to restrict the amount that can be invested or not to proceed with this investment at all, and I shall have no liability if Dentons exercises this discretion.

I shall be held responsible for all or any cost, loss, expense, tax (or other) charge or liability, made, incurred or suffered by me personally or by my SIPP as a result of making this investment and I hereby undertake to indemnify and keep indemnified Dentons or any of its subsidiary companies from and against any such cost, loss, expense, tax (or other) charge or liability, made, incurred or suffered by it or them (including any associated legal or other fees and costs incurred by them) as a result of the investment being made.

I confirm that any assets held within my pension can be used to indemnify Dentons of any liability and if there are not sufficient assets within my pension Dentons can pursue me personally for payment.

\*I confirm that I am a sophisticated or high net worth investor and have completed Dentons form, 'Statement for certification of Sophisticated & HNW investors'. (Please note: this form is not required where the investment relates to UK unlisted shares, property syndicates, loans to unconnected parties or Intellectual Property).

I confirm that I authorise and instruct Dentons to give effect to this investment instruction.

Member signature

Date

 /  / 


Dentons Pension Management Ltd  
Sutton House, Weyside Park  
Catteshall Lane, Godalming  
Surrey GU7 1XE

T 01483 521 521  
F 01483 521 515  
E [enquiries@dentonspensions.co.uk](mailto:enquiries@dentonspensions.co.uk)  
W [www.dentonspensions.co.uk](http://www.dentonspensions.co.uk)

Dentons Pension Management Limited, Denton & Co Trustees Limited  
NTS Trustees Limited, TP Trustees Limited and Sippchoice Trustees Limited are  
registered in England & Wales under numbers 02352951, 01939029, 01407848,  
02604059 and 06869793 respectively. Registered Office at Sutton House, Weyside  
Park, Catteshall Lane, Godalming, Surrey, GU7 1XE.

Dentons Pension Management Limited is authorised and regulated by the Financial  
Conduct Authority with the FCA register number 461094.

VAT number for Dentons Pension Management Limited is 863 1639 14.

DPM.SIPPNonStandInv.1018