

# SSAS Non Standard Investment Declaration

Scheme Name

Correspondence Address

Scheme reference

Name of investment

Value of proposed Investment (approximately)

**I/We confirm that it is my/our intention to hold the above named investment within the aforementioned pension scheme.**

I/We appreciate that this is a non-standard investment and that Denton & Co Trustees Limited / NTS Trustees Limited will assess the proposal in detail before allowing such an investment. I/We understand that Denton & Co Trustees Limited / NTS Trustees Limited will not allow any investment to be held that could potentially give rise to tax charges.

**I/We confirm my/our understanding of the risk factors involved in the purchase of this investment in connection with my/our pension investments:**

- > Do you understand the full nature of the investment you are entering into? Yes  No
- > Are the member(s) comfortable with the potentially high level of risk associated with this investment? Yes  No
- > Have the member(s) read in full, understood and agreed the terms of all the relevant documentation published by the promoter, provider, broker or adviser (as appropriate)? Yes  No
- > Do the member(s) understand that the marketing material may claim to provide high rates of return on the member(s) investment, but there is no guarantee of this? Yes  No
- > Do the member(s) realise that they may get back less than the amount they have invested, or if the investment fails, they may get back nothing at all? Yes  No
- > Are the member(s) in a position of being able to accept this risk? Yes  No
- > Do the member(s) confirm that they are sophisticated or high net worth investor(s)?\* Yes  No
- > Do the member(s) understand that the investment, or the organisation offering the investment, may not be authorised or regulated by the Financial Conduct Authority (FCA), the UK's regulator? Yes  No
- > If this is the case, do the member(s) understand that they may not be able to complain to the Financial Ombudsman Service or Pensions Ombudsman? Yes  No
- > If the investment is not authorised or regulated by the FCA, do the member(s) understand that they may not be able to be protected by the Financial Services Compensation Scheme? Yes  No
- > Do the member(s) understand the level and nature of the charges payable in relation to Yes  No

the holding and future sale of this investment?

- > Do the member(s) understand that this may be an 'illiquid' investment and that it may not be possible to encash it? Yes  No
- > Do the member(s) understand that there may **not** be a second hand market for this investment and therefore it may not be possible to sell this investment? Yes  No
- > It may also be difficult for Denton & Co Trustees Limited / NTS Trustees Limited to obtain a valuation for reporting or pension benefit calculation purposes and may be forced to value the investment as 'nil': do the member(s) understand this? Yes  No
- > Do the member(s) understand that this investment could result in significant delays or restrictions in paying pension benefits or, in the event of the member(s) death, death benefits to the member(s) beneficiaries? Yes  No
- > Do you have sufficient capacity for loss in the event of a catastrophic failure of this investment? Yes  No

**Have the member(s) taken advice in connection with this investment?** If Yes, please provide adviser details Yes  No

Name of Adviser

Name of Adviser firm

Firm Registration Number (FRN)

### Declaration

I/We have considered this transaction in connection with my/our pension investments and confirm that I/we have not received any investment or financial advice from Denton & Co Trustees Limited / NTS Trustees Limited, which has no responsibility for any risks, financial loss (however arising) or liquidity constraints arising from this investment instruction.

I/We understand that Denton & Co Trustees Limited / NTS Trustees Limited has the discretion not to proceed with this investment instruction, and shall not have any liability if it exercises this discretion.

I/We will indemnify and will keep Denton & Co Trustees Limited / NTS Trustees Limited indemnified in respect of any loss or liability or tax charges that may incur as a consequence of this transaction.

I/We confirm that any assets held within my/our pension can be used to indemnify Denton & Co Trustees Limited / NTS Trustees Limited of any liability and if there are not sufficient assets within my/our pension, Denton & Co Trustees Limited / NTS Trustees Limited can pursue me/us personally for payment.

I/We confirm that I am/ we are a sophisticated or high net worth investor and have completed Denton Pension Management's form, 'Statement for certification of Sophisticated & HNW investors' (Please note: this form is not required where the investment relates to UK unlisted shares, property syndicates, loans to unconnected parties or Intellectual Property.)

I/We confirm that I/we authorise and instruct Denton & Co Trustees Limited / NTS Trustees Limited to give effect to this investment instruction.

Member signature

Date



Dentons Pension Management Ltd  
Sutton House, Weyside Park  
Catteshall Lane, Godalming  
Surrey GU7 1XE

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Dentons Pension Management Limited, Denton & Co Trustees Limited, NTS Trustees Limited and TP Trustees Limited are registered in England & Wales under numbers 02352951, 01939029, 01407848 and 02604059 respectively. Registered Office at Sutton House, Weyside Park, Catteshall Lane, Godalming, Surrey, GU7 1XE.  
Dentons Pension Management Limited is authorised and regulated by the Financial Conduct Authority with the FCA register number 461094.  
VAT number for Dentons Pension Management Limited is 863 1639 14.

## Declaration

I/We confirm that I/we have received, read and agreed to the SSAS Non Standard Investment Declaration as stated within this document.

**Member signature 1**

**Name (in capitals)**

**Member signature 2**

**Name (in capitals)**

**Member signature 3**

**Name (in capitals)**

**Member signature 4**

**Name (in capitals)**

**Member signature 5**

**Name (in capitals)**

**Member signature 6**

**Name (in capitals)**



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