

SIPP Non Standard Investment Declaration

Client Name	<input type="text"/>
Client Address	<input type="text"/>
SIPP reference	<input type="text"/>
Type of Investment	<input type="checkbox"/> Unquoted UK equities <input type="checkbox"/> UCIS* <input type="checkbox"/> Commercial Loans** <input type="checkbox"/> Hedge funds* <input type="checkbox"/> Property syndicates <input type="checkbox"/> Intellectual Property
Name of investment	<input type="text"/>
Value of proposed investment (approximately)	£ <input type="text"/>

I confirm that it is my intention to hold the above named investment within my pension scheme.

I appreciate that this is a non-standard investment and that Dentons Pension Management Limited (Dentons) will assess the proposal in detail before allowing such an investment. I understand that Dentons will not allow any investment to be held that could potentially give rise to tax penalties.

I confirm my understanding of the risk factors involved in the purchase of this investment in connection with my pension investments:

> Do you understand the full nature of the investment you are entering into?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
> Are you comfortable with the potentially high level of risk associated with this investment?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
> Have you read in full, understood and agreed the terms of all the relevant documentation published by the promoter, provider, broker or adviser (as appropriate)?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
> Do you realise that you may get back less than the amount you have invested, or if the investment fails, you may get back nothing at all?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
> Are you in a position of being able to accept this risk?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
> Do you understand that the investment, or the organisation offering the investment, may not be authorised or regulated by the Financial Conduct Authority (FCA), the UK's regulator?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
> If this is the case, do you understand that you may not be able to complain to the Financial Ombudsman Service or Pensions Ombudsman?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
> If the investment is not authorised or regulated by the FCA, do you understand that you may not be able to be protected by the Financial Services Compensation Scheme?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
> Do you understand the level and nature of the charges payable in relation to the holding and future sale of this investment?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
> Do you understand that this may be an 'illiquid' investment and that it may not be possible to encash it in a timely manner?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
> Do you understand that there may not be a secondary market for this investment and therefore it may not be possible to sell this investment?	Yes <input type="checkbox"/>	No <input type="checkbox"/>

- > It may also be difficult for Dentons to obtain a valuation for reporting or pension benefit calculation purposes and we may be forced to value the investment as 'nil': do you understand this? Yes No
- > Do you understand that this investment could result in significant delays or restrictions in paying pension benefits or, in the event of your death, death benefits to your beneficiaries? Yes No
- > Do you have sufficient capacity for loss in the event of catastrophic failure of this investment? Yes No
- > Do you understand that the marketing material may claim to provide high rates of return on your investment, but there is no guarantee of this? N/A Yes No
- > Are you a sophisticated or high net worth investor (for Hedge funds and UCIS)?* Yes No

Have you taken advice in connection with this investment? Yes No

If Yes, please provide the adviser details.

Name of Adviser

Name of Adviser firm

Firm Registration Number (FRN)

Declaration

I have considered this transaction in connection with my pension investments.

I confirm that neither Dentons Pension Management Limited (Dentons) nor any subsidiary company has given me any investment advice or other advice or exercised its judgement on its merits, suitability or otherwise of the proposed investments.

I understand that Dentons has the discretion to restrict the amount that can be invested or not to proceed with this investment at all, and I shall have no liability if Dentons exercises this discretion.

I shall be held responsible for all or any cost, loss, expense, tax (or other) charge or liability, made, incurred or suffered by me personally or by my SIPP as a result of making this investment and I hereby undertake to indemnify and keep indemnified Dentons or any of its subsidiary companies from and against any such cost, loss, expense, tax (or other) charge or liability, made, incurred or suffered by it or them (including any associated legal or other fees and costs incurred by them) as a result of the investment being made.

I confirm that any assets held within my pension can be used to indemnify Dentons of any liability and if there are not sufficient assets within my pension Dentons can pursue me personally for payment.

Please delete as appropriate

* I confirm that I am investing in **Hedge funds** or **UCIS** and I am a **sophisticated/high net worth investor or an elective professional client as required by the relevant investment house and have completed Dentons form.**

** I confirm I understand that if residential property is used as security for a development loan, there is a potential risk that a tax charge will apply in the event the pension scheme becomes a 'mortgagee in possession', i.e. where the scheme is required to exercise its right to take possession of the residential property in the event that the loan defaults.

I confirm that I authorise and instruct Dentons to give effect to this investment instruction.

Member Signature

Full name

Date



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Dentons Pension Management Limited is authorised and regulated by the Financial Conduct Authority, register number 461094.

VAT number for Dentons Pension Management Limited is 863 1639 14.